

AUG 10 2004

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/815,120	03/26/2004	Tsutomu Yoshitake	NECG 21.093

CONFIRMATION NO. 6565

026304  
 KATTEN MUCHIN ZAVIS ROSENMAN  
 575 MADISON AVENUE  
 NEW YORK, NY 10022-2585

## FORMALITIES LETTER



\*OC000000012946775\*

Date Mailed: 06/15/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$86 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$986 for a Large Entity

08/16/2004 FFANAIA2 00000014 501290 10815120  
 01 FC:1001 770.00 DA  
 02 FC:1051 130.00 DA  
 03 FC:1201 86.00 DA

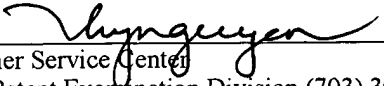
- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$86**
  - **\$86** for 1 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Tsutomu YOSHITAKE, et al.  
Serial No. : 10/815,120  
Filed : March 26, 2004  
Title : POLARIZING ELECTRODE, MANUFACTURING METHOD...  
Art Unit : 2831  
Confirmation No. : 6565

August 9, 2004

Mail Stop MISSING PARTS  
Director of the U.S. Patent and  
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P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE FOR NOTICE TO FILE MISSING PARTS

To the Commissioner for Patents:

We have received a Notice to File Missing Parts of Application dated June 15, 2004, a copy of which is attached. In reply thereto enclosed please find the following items:

- ☒ 1. Declaration;
- ☒ 2. Return post card;
- ☒ 3. Copy of Notice to File Missing Parts of Application;

Any fee due with this paper may be charged to Deposit Acct. No. 50-1290.

Respectfully submitted,

Samson Helfgott  
Reg. No.: 23,072

CUSTOMER NO.: 026304  
TELEPHONE: (212) 940-8800  
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Docket No.: NECG 21.093 (100806-00258)

Filed by Express Mail  
(Receipt No. 62980189143US)  
on August 9, 2004  
pursuant to 37 C.F.R. 1.10.  
by Severin